

Lecture 27

Sorting

Announcements for This Lecture

Finishing Up

- **Submit a course evaluation**
 - Will get an e-mail for this
 - Part of “participation grade”
- **Final: Dec 17th 9-11:30am**
 - Study guide is posted
 - Announce reviews on Tues.
- **Conflict with Final time?**
 - Submit to conflict to CMS
by next Tuesday!

Assignment 7

- Should be on bolt *collisions*
- Use weekend for final touches
 - Multiple lives
 - Winning or losing the game
- Also work on the extension
 - Add anything you want
 - **ONLY NEED ONE**
 - Ask on Piazza if unsure
 - All else is **extra credit**

Linear Search

- **Vague:** Find first occurrence of v in $b[h..k-1]$.

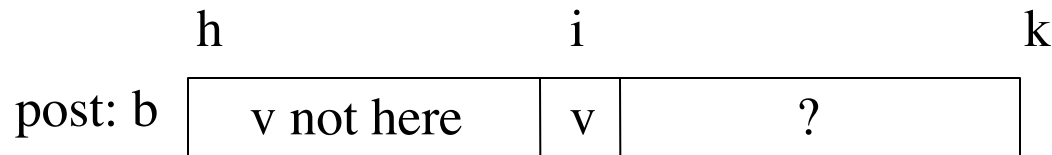
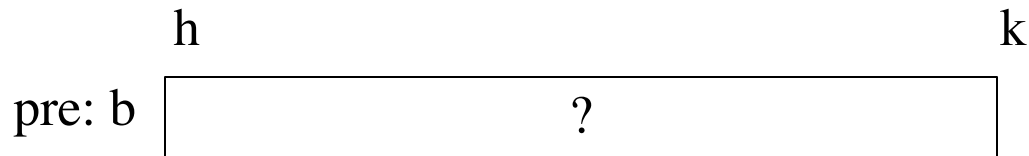
Linear Search

- **Vague:** Find first occurrence of v in $b[h..k-1]$.
- **Better:** Store an integer in i to truthify result condition post:
post: 1. v is not in $b[h..i-1]$
 2. $i = k$ OR $v = b[i]$

Linear Search

- **Vague:** Find first occurrence of v in $b[h..k-1]$.
- **Better:** Store an integer in i to truthify result condition post:

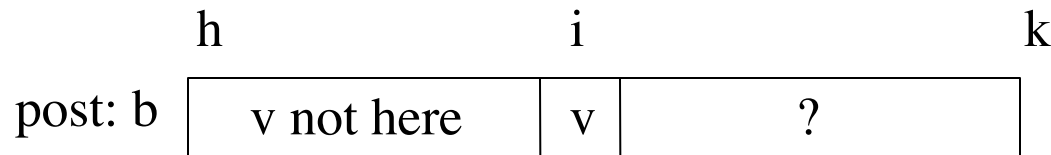
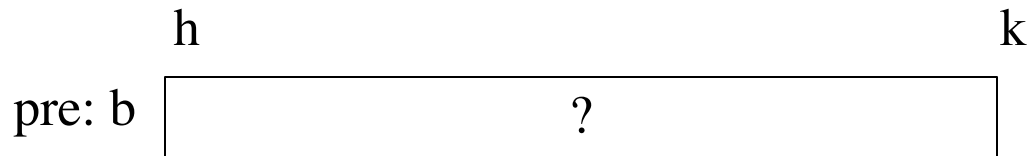
- post:
1. v is not in $b[h..i-1]$
 2. $i = k$ OR $v = b[i]$



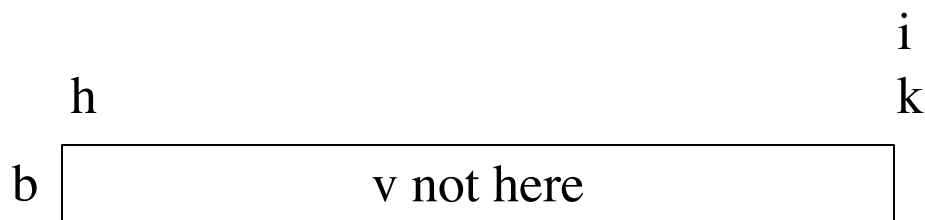
Linear Search

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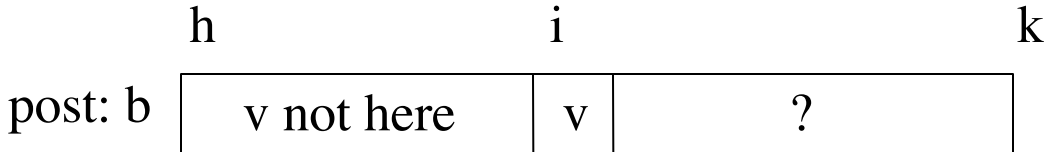
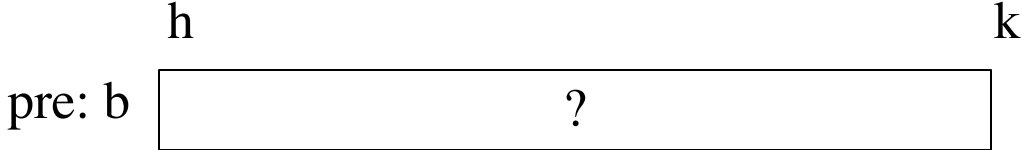
- post:
1. v is not in $b[h..i-1]$
 2. $i = k$ OR $v = b[i]$



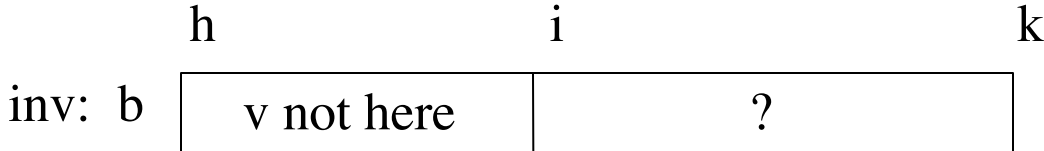
OR



Linear Search



OR



Linear Search

```
def linear_search(b,v,h,k):  
    """Returns: first occurrence of v in b[h..k-1]"""  
    # Store in i index of the first v in b[h..k-1]  
    i = h  
  
    # invariant: v is not in b[h..i-1]  
    while i < k and b[i] != v:  
        i = i + 1  
  
    # post: v is not in b[h..i-1]  
    #     i >= k or b[i] == v  
    return i if i < k else -1
```

Analyzing the Loop

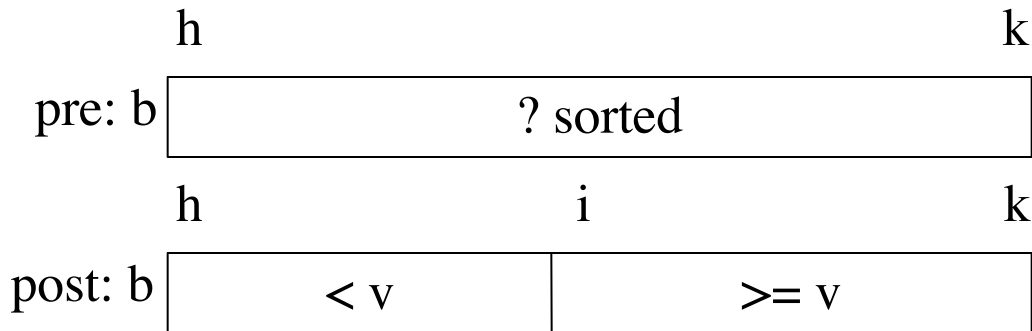
1. Does the initialization make **inv** true?
2. Is **post** true when **inv** is true and **condition** is false?
3. Does the repetend make progress?
4. Does the repetend keep the invariant **inv** true?

Binary Search

- **Vague:** Look for v in **sorted** sequence segment $b[h..k]$.

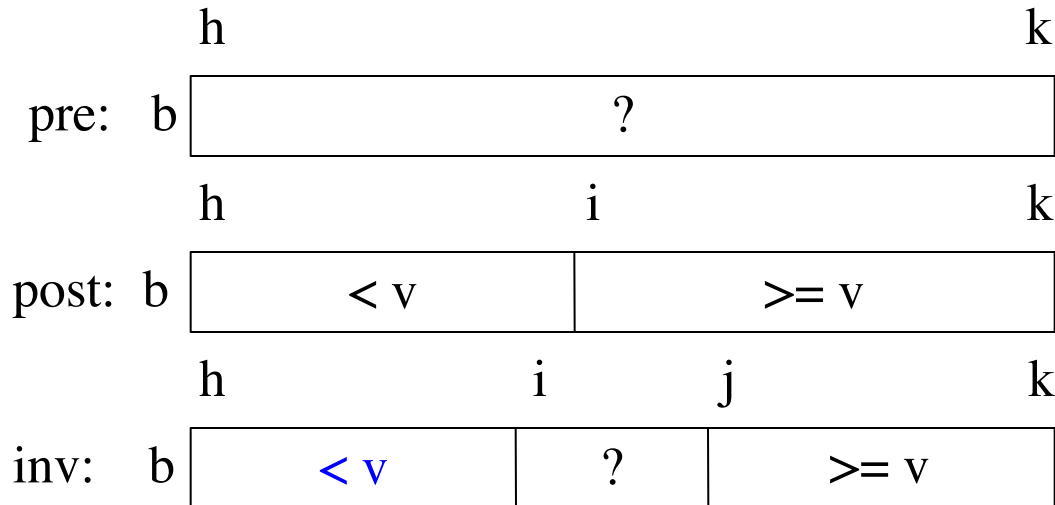
Binary Search

- **Vague:** Look for v in **sorted** sequence segment $b[h..k]$.
- **Better:**
 - **Precondition:** $b[h..k-1]$ is sorted (in ascending order).
 - **Postcondition:** $b[h..i-1] < v$ and $v \leq b[i..k]$
- Below, the array is in non-descending order:

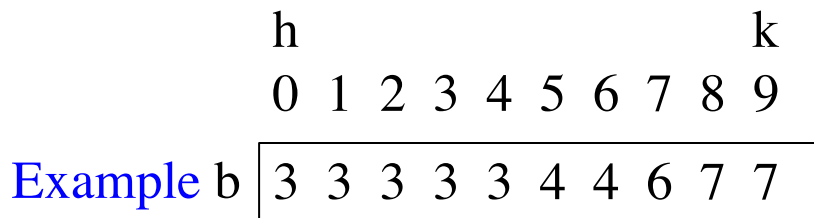


Binary Search

- Look for value v in **sorted** segment $b[h..k]$



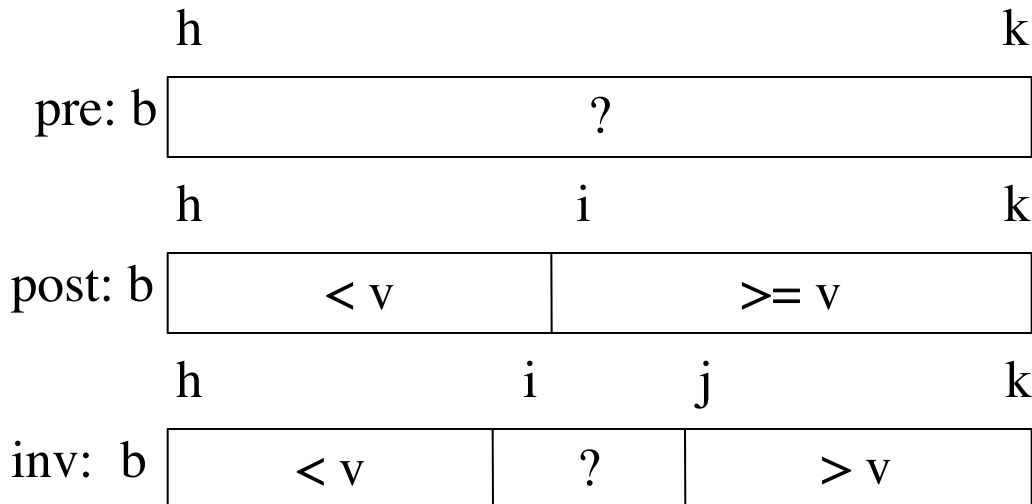
New statement of the invariant guarantees that we get **leftmost** position of v if found



- if v is 3, set i to 0
- if v is 4, set i to 5
- if v is 5, set i to 7
- if v is 8, set i to 10

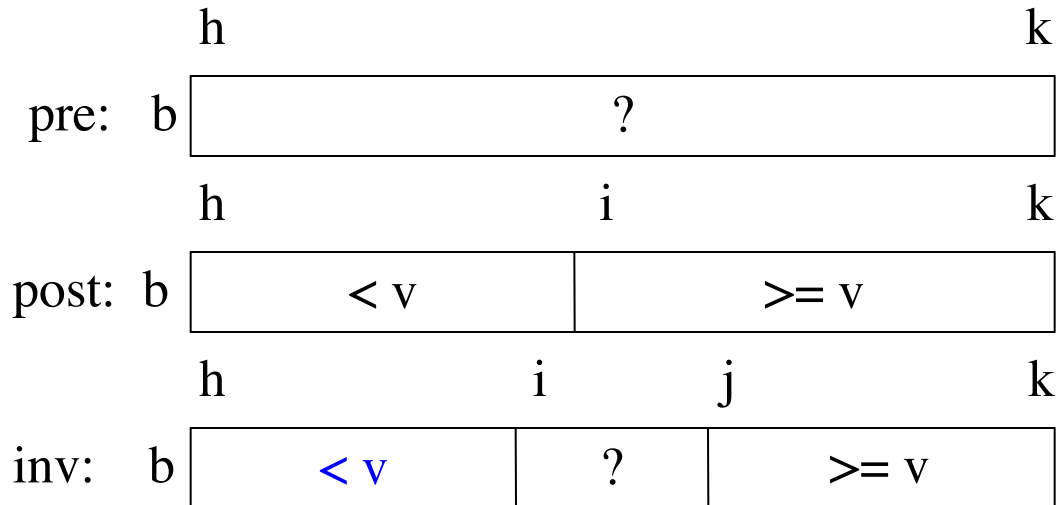
Binary Search

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- **Better:**
 - **Precondition:** $b[h..k-1]$ is sorted (in ascending order).
 - **Postcondition:** $b[h..i-1] < v$ and $v \leq b[i..k]$
- Below, the array is in non-descending order:



Called **binary search** because each iteration of the loop cuts the array segment still to be processed in half

Binary Search



New statement of the invariant guarantees that we get **leftmost** position of v if found

$i = h; j = k+1;$

while $i \neq j:$

- Looking at $b[i]$ gives **linear search** from left.
- Looking at $b[j-1]$ gives **linear search** from right.
- Looking at middle: $b[(i+j)/2]$ gives **binary search**.

Sorting: Arranging in Ascending Order

pre: b

?

post: b

sorted

Insertion Sort:

inv: b

sorted	?
--------	---

$i = 0$

while $i < n$:

Push $b[i]$ down into its
sorted position in $b[0..i]$
 $i = i + 1$

0	i
2 4 4 6 6 7	5

0	i
2 4 4 5 6 6	7

←

Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

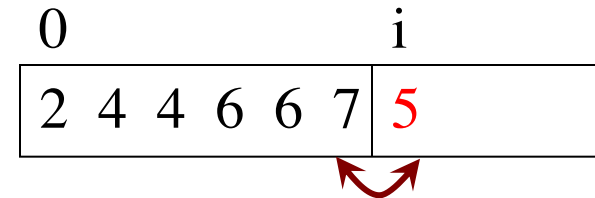
```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```



swap shown in the
lecture about lists

Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
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```
def push_down(b, i):
```

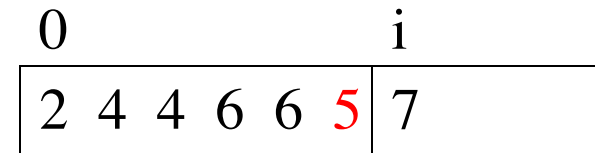
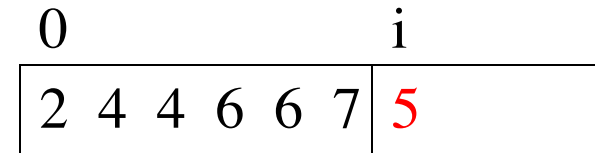
```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
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            swap(b,j-1,j)
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swap shown in the
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Insertion Sort: Moving into Position

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i = 0
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```
while i < n:
```

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```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

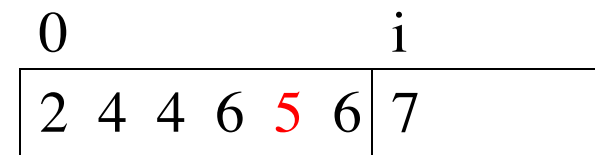
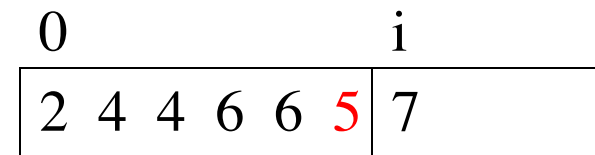
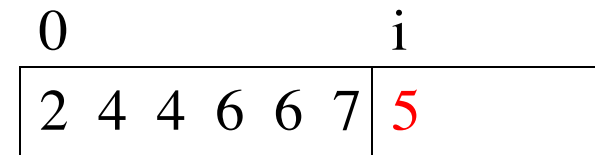
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

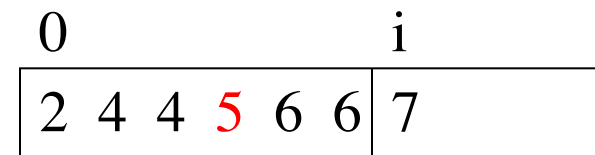
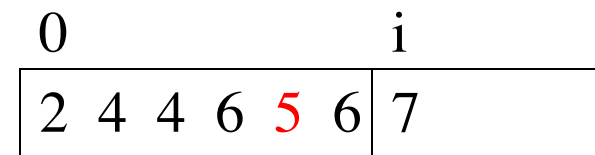
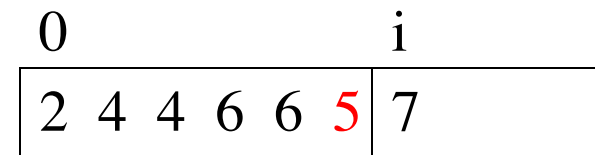
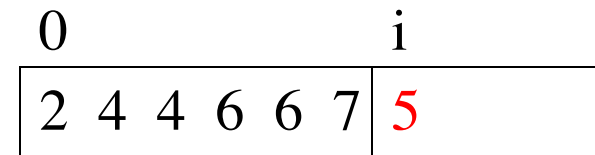
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



The Importance of Helper Functions

```
i = 0
while i < n:
    push_down(b,i)
    i = i+1

def push_down(b, i):
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            swap(b,j-1,j)
        j = j-1
```

VS

```
i = 0
while i < n:
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            temp = b[j]
            b[j] = b[j-1]
            b[j-1] = temp
        j = j - 1
    i = i + 1
```

Can you understand
all this code below?

Insertion Sort: Performance

```
def push_down(b, i):
```

```
    """Push value at position i into
    sorted position in b[0..i-1]"""
```

```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b, j-1, j)
```

```
            j = j-1
```

- $b[0..i-1]$: i elements
- Worst case:
 - $i = 0$: 0 swaps
 - $i = 1$: 1 swap
 - $i = 2$: 2 swaps
- Pushdown is in a loop
 - Called for i in $0..n$
 - i swaps each time

Total Swaps: $0 + 1 + 2 + 3 + \dots + (n-1) = (n-1)*n/2 = (n^2-n)/2$

Insertion Sort: Performance

```
def push_down(b, i):
```

```
    """Push value at position i into
    sorted position in b[0..i-1]"""
```

```
    j = i
```

```
    while j > 0:
```

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        if b[j-1] > b[j]:
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            swap(b, j-1, j)
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            j = j-1
```

- $b[0..i-1]$: i elements
- Worst case:
 - $i = 0$: 0 swaps
 - $i = 1$: 1 swap
 - $i = 2$: 2 swaps
- Pushdown is in a loop
 - Called for i in $0..n$
 - i swaps each time

Insertion sort is
an n^2 algorithm

Total Swaps: $0 + 1 + 2 + 3 + \dots + (n-1) = (n-1)*n/2 = (n^2-n)/2$

Algorithm “Complexity”

- **Given:** a list of length n and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	$n=10$	$n=100$	$n=1000$
n	0.01 s	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
n^2	0.1 s	10 s	16.7 m
n^3	1 s	16.7 m	11.6 d
2^n	1 s	4×10^{19} y	3×10^{290} y

Major Topic in 2110: Beyond scope of this course

Sorting: Changing the Invariant

pre: b

0		n
?		

post: b

0		n
sorted		

Selection Sort:

inv: b

0		i		n
sorted, $\leq b[i..]$			$\geq b[0..i-1]$	

First segment always contains smaller values

$i = 0$

while $i < n$:

Find minimum in $b[i..]$

Move it to position i

$i = i+1$



i n

2	4	4	6	6	8	9	9	7	8	9
---	---	---	---	---	---	---	---	---	---	---

i n

2	4	4	6	6	7	9	9	8	8	9
---	---	---	---	---	---	---	---	---	---	---

i n

2	4	4	6	6	7	9	9	8	8	9
---	---	---	---	---	---	---	---	---	---	---

Sorting: Changing the Invariant

pre: b

?

post: b

sorted

Selection Sort:

inv: b

sorted, $\leq b[i..]$	$\geq b[0..i-1]$
-----------------------	------------------

First segment always contains smaller values

$i = 0$

while $i < n$:

Find minimum in $b[i..]$

Move it to position i

$i = i+1$

Compared to insertion sort, selection sort is

- A: Slower
- B: About the same
- C: Faster
- D: I don't know

Sorting: Changing the Invariant

pre: b

?

post: b

sorted

Selection Sort:

inv: b

sorted, $\leq b[i..]$	$\geq b[0..i-1]$
-----------------------	------------------

First segment always contains smaller values

$i = 0$

while $i < n$:

$j = \text{index of min of } b[i..n-1]$

swap(b, i, j)

$i = i + 1$

This is n steps

i n

2 4 4 6 6	8 9 9 7 8 9
-----------	-------------

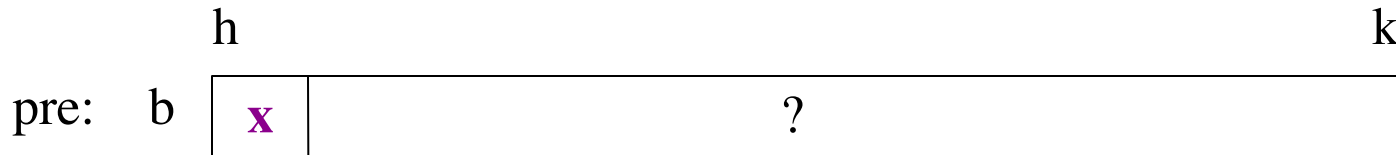
i n

2 4 4 6 6	7 9 9 8 8 9
-----------	-------------

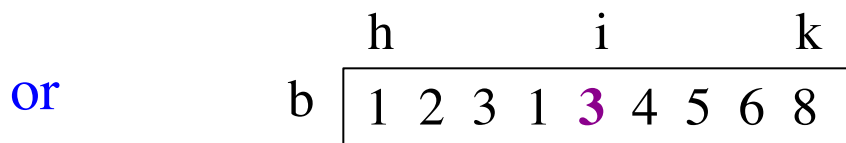
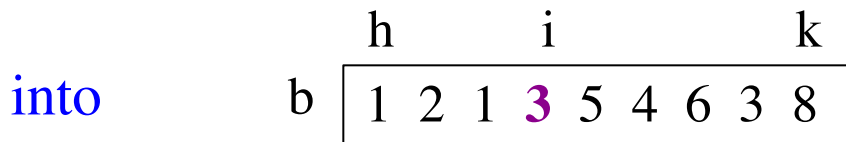
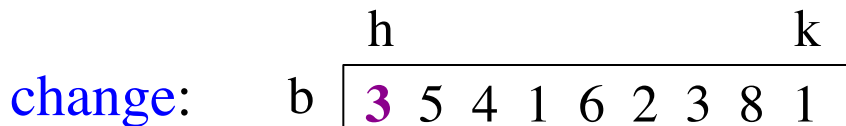
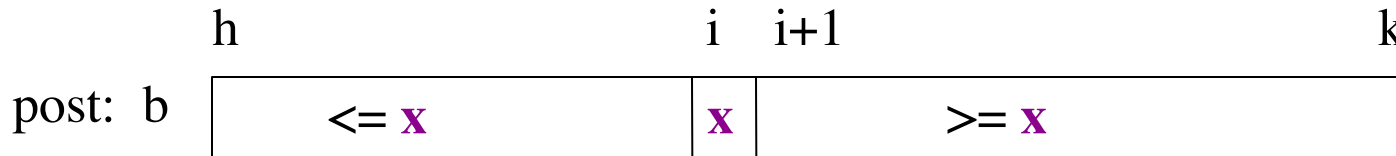
Selection sort also is an n^2 algorithm

Partition Algorithm

- Given a list segment $b[h..k]$ with some value x in $b[h]$:



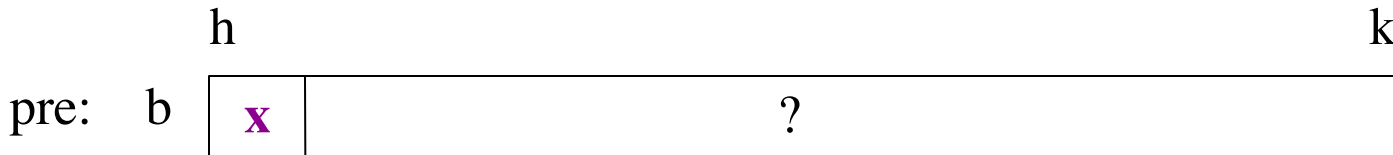
- Swap elements of $b[h..k]$ and store in j to truthify post:



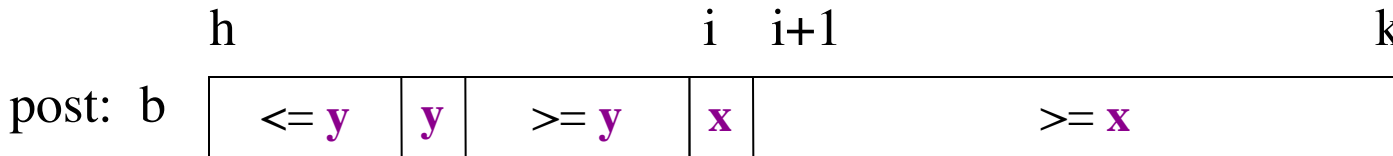
- x is called the **pivot value**
 - x is not a program variable
 - denotes value initially in $b[h]$

Sorting with Partitions

- Given a list segment $b[h..k]$ with some value x in $b[h]$:



- Swap elements of $b[h..k]$ and store in j to truthify post:



Partition Recursively

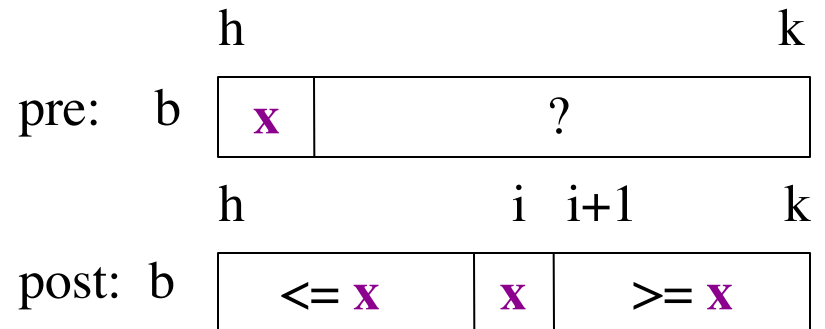
Recursive partitions = sorting

- Called **QuickSort** (why???)
- Popular, fast sorting technique

QuickSort

```
def quick_sort(b, h, k):  
    """Sort the array fragment b[h..k]"""  
    if b[h..k] has fewer than 2 elements:  
        return  
    j = partition(b, h, k)  
    # b[h..j-1] <= b[j] <= b[j+1..k]  
    # Sort b[h..j-1] and b[j+1..k]  
    quick_sort (b, h, j-1)  
    quick_sort (b, j+1, k)
```

- **Worst Case:**
array already sorted
 - Or almost sorted
 - n^2 in that case
- **Average Case:**
array is scrambled
 - $n \log n$ in that case
 - Best sorting time!



Final Word About Algorithms

- **Algorithm:**

- Step-by-step way to do something
- Not tied to specific language

List Diagrams

- **Implementation:**

- An algorithm in a specific language
- Many times, not the “hard part”

Demo Code

- Higher Level Computer Science courses:

- We teach advanced algorithms (pictures)
- Implementation you learn on your own