

Lecture 4

Topics

1. Brief review of capture - OCaml example
 2. Barendregt's equational theory Λ_α , Chapter 2, 2.1.4, λ
(He mentions names: λ -calculus, $\lambda\beta$ -calculus, λk -calculus)
 3. An evaluator for λ -terms - denotational, relationship to set theory
 4. Combinators
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1. Review of capture and substitution

Our key example can be written in OCaml over the integers as:

$$ap(\lambda(y.ap(\lambda(x.\lambda(y.b(x,y))); a(y))); c)$$

which reduces to:

$$\lambda(y.b(a(c), y))$$

We can write this numerically in OCaml and you can execute the program.

$$\begin{aligned} &ap(\lambda(y.ap(\lambda(x.\lambda(y.x + y)); y * z)); 2) \\ & \quad (fun\ y \rightarrow (fun\ x \rightarrow fun\ y \rightarrow (x + y))(y * 3))\ 2 \\ & \quad (int \rightarrow int) \\ & \quad \text{apply to 2 then 3 get 9} \\ & \quad (fun\ x \rightarrow fun\ y \rightarrow (x + y))\ 6 \\ & \quad \quad fun\ y \rightarrow (6 + y)\ 3 \\ & \quad \quad \quad 6 + 3 \\ & \quad \quad \quad 9 \end{aligned}$$

Lecture 2 from CS6110 2012 gives the details of safe substitution. PS1 deals with this topic as well and asks you to write out safe substitution for your account of λ -terms.

2. Lambda Theory

Barendregt presents a small formal *equational theory* of λ -terms based on his syntax. Here are his axioms (page 23, Chapter 2) in a different order. We take M, N, L, Z , to be λ -terms.

Eq 1. Reflexivity: $M = N$

Eq 2. Symmetry: $(M = N) \Rightarrow (N = M)$

Eq 3. Transitivity: $M = N, N = L \Rightarrow M = L$

Eq Ap. $M = N \Rightarrow MZ = NZ$ equals applied to equals

Ap Eq. $M = N \Rightarrow ZM = ZN$ application to equals

$$M = N \Rightarrow \lambda x.M = \lambda x.N$$

β $(\lambda x.M)N = M[N/x]$ β -conversion (lazy application)

α $M \equiv_\alpha N$ iff N results from M by a sequence of changes of bound variable. We also write $M =_\alpha N$. This is called alpha equality.

This Lambda Theory treats a weak notion of computational equality, a step by step treatment of computation without regard to whether the computation terminates.

There is an even more syntactic theory that omits the β rule. That is a theory of *structural equality*.

An evaluation function for λ -terms

Lisp, built by McCarthy at MIT, was the first programming language to implement the λ -calculus, defined at Princeton by Church. One of McCarthy's key steps was writing an *evaluator* for the language. The ML language adopted this notion. OCaml has an evaluator. The problem for us is that it executes a typed λ -calculus, so we can't experiment with all expressions such as $\lambda(x.xx)\lambda(x.xx)$, more fully

$$ap(\lambda(x.ap(x; x)); \lambda(x.ap(x; x)))$$

Here is a lazy evaluator based on the β -reduction rule:

$$\begin{array}{ll} ap(\lambda(x.b); a) \downarrow b[a/x] & \text{Barendregt writes using} \\ \lambda(x.b)a = b[a/x] & \text{the variable convention.} \end{array}$$

This evaluation rule is given the name *lazy evaluation* or *call-by-name* evaluation. It is lazy because we don't bother evaluating the argument a before we substitute it "by name" for x .

Here is the lazy evaluator written recursively:

$$eval(ap(\lambda(x.b); a)) = eval(b[a/x])$$

The evaluator must deal with any *closed* λ -expression.

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eval(l) = if l =  $\lambda(x.b)$  then l
         if l = ap(f; a)
         then if eval(f) =  $\lambda(x.b)$ 
               then eval(b[a/x])
         else abort
```

This is a recursive function. Can we write it as a λ -term?